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JAN 2 3 2004	Please type a plus sign (+) inside this box  +  type a plus sign (+) inside this box  type a plus sign (+) inside this box	U.S. Patent and Tradema	PTO/SB/81 (02-01) ad for use through 10/31/2002. OMB 0651-0035 rk Office; U.S. DEPARTMENT OF COMMERCE on unless it display a valid OMB control number.
		Application Number	10/037,146
TRADEMA		Filing Date	12/21/2001
		First Named Inventor	Painter
·	POWER OF ATTORNEY OR	Title	RESONANT OPTICAL MODULATORS

## **AUTHORIZATION OF AGENT**

10/037,146
12/21/2001
Painter
RESONANT OPTICAL MODULATORS
CQC13NP

Address City Country Telephone I am the: Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTOISBi96).  Signature Date:  Name Registration Number Label therewith.  Please change the correspondence address for the above-identified above, and to transact all business and	I hereby appoint:				
Address City Country Telephone  I am the: Applicant/Inventor.  Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed.  Name  Registration Number Registration	X Practitioners at	Customer Number 36394			
as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.  Please change the correspondence address for the above-identified application to:    The above-mentioned Customer Number.  OR					
as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.  Please change the correspondence address for the above-identified application to:  \[ \textsty The above-mentioned Customer Number. \]  Practitioners at Customer Number \[ \textsty Practitioners at Customer Number \textsty Practitioners at Customer Number \textsty Practitioners at Customer Number \textsty Practitioners \te	Practitioner(s) na	amed below:			
business in the United States Patent and Trademark Office connected therewith.  Please change the correspondence address for the above-identified application to:  X The above-mentioned Customer Number.  OR Practitioners at Customer Number  I prim or Individual Name  Address  City  Country  Telephone  I am the: Applicant/Inventor.  X Assignee of record of the entire interest. See 37 CFR 3.71.  Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).  SIGNATURE of Applicant or Assignee of Record  Name  Jeffrey S Riticipal. President and CEO, Xponent Photonics Inc.  Signature	l ———	Name	Registration Number		
business in the United States Patent and Trademark Office connected therewith.  Please change the correspondence address for the above-identified application to:  X The above-mentioned Customer Number.  OR Practitioners at Customer Number  I prim or Individual Name  Address  City  Country  Telephone  I am the: Applicant/Inventor.  X Assignee of record of the entire interest. See 37 CFR 3.71.  Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).  SIGNATURE of Applicant or Assignee of Record  Name  Jeffrey S Riticipal. President and CEO, Xponent Photonics Inc.  Signature					
business in the United States Patent and Trademark Office connected therewith.  Please change the correspondence address for the above-identified application to:  X The above-mentioned Customer Number.  OR Practitioners at Customer Number  I prim or Individual Name  Address  Address  City  Country  Telephone  I am the:  Applicant/Inventor.  X Assignee of record of the entire interest. See 37 CFR 3.71.  Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).  SIGNATURE of Applicant or Assignee of Record  Name  Jeffrey S Riticipal. President and CEO, Xponent Photonics Inc.  Signature					
business in the United States Patent and Trademark Office connected therewith.  Please change the correspondence address for the above-identified application to:  X The above-mentioned Customer Number.  OR Practitioners at Customer Number  I prim or Individual Name  Address  City  Country  Telephone  I am the: Applicant/Inventor.  X Assignee of record of the entire interest. See 37 CFR 3.71.  Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).  SIGNATURE of Applicant or Assignee of Record  Name  Jeffrey S Riticipal. President and CEO, Xponent Photonics Inc.  Signature					
business in the United States Patent and Trademark Office connected therewith.  Please change the correspondence address for the above-identified application to:  X The above-mentioned Customer Number.  OR Practitioners at Customer Number  I prim or Individual Name  Address  Address  City  Country  Telephone  I am the:  Applicant/Inventor.  X Assignee of record of the entire interest. See 37 CFR 3.71.  Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).  SIGNATURE of Applicant or Assignee of Record  Name  Jeffrey S Riticipal. President and CEO, Xponent Photonics Inc.  Signature	<u> </u>				
Please change the correspondence address for the above-identified application to:    X					
The above-mentioned Customer Number.  OR Practitioners at Customer Number  OR  Firm or Individual Name  Address  City  Country  Telephone  I am the:  Applicant/Inventor.  X Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).  SIGNATURE of Applicant or Assignee of Record  Name  Jeffrey S. Pricchia, President and CEO, Xponent Photonics Inc.  Signature		——————————————————————————————————————			
OR Practitioners at Customer Number			uned application to:		
Firm or Individual Name  Address Address City State Zip  Country  Telephone Fax  I am the:  Applicant/Inventor.  X Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).  SIGNATURE of Applicant or Assignee of Record  Name Jeffrey Symmetrical and CEO, Xponent Photonics Inc.  Signature			Place Customer		
Firm or Individual Name  Address  Address  City State Zip  Country  Telephone Fax  I am the:  Applicant/Inventor.  X Assignee of record of the entire interest. See 37 CFR 3.71.  Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).  SIGNATURE of Applicant or Assignee of Record  Name Jeffrey S. Pittichia. President and CEO, Xponent Photonics Inc.  Signature	☐ Practitioners at Cu	stomer Number			
Individual Name  Address  Address  City  Country  Telephone  I am the:  Applicant/Inventor.  X Assignee of record of the entire interest. See 37 CFR 3.71.  Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).  SIGNATURE of Applicant or Assignee of Record  Name  Jeffrey S Rivicinal, President and CEO, Xponent Photonics Inc.  Signature	OR		Label Hele		
Address  City  Country  Telephone  I am the:  Applicant/Inventor.  X Assignee of record of the entire interest. See 37 CFR 3.71.  Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).  SIGNATURE of Applicant or Assignee of Record  Name  Jeffrey S. Pittichia, President and CEO, Xponent Photonics Inc.  Signature					
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I am the: Applicant/Inventor.  X Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).  SIGNATURE of Applicant or Assignee of Record  Name  Jeffray S, Ritichia, President and CEO, Xponent Photonics Inc.  Signature					
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SIGNATURE of Applicant or Assignee of Record  Name  Jeffrey S. Ritsicher, President and CEO, Xponent Photonics Inc.  Signature					
Name Jeffrey S. Rifficiale, President and CEO, Xponent Photonics Inc.  Signature					
Signature					
	Name Jettrey S. Pitichier, President and CEO, Xponent Photonics Inc.				
Date: 4-)7-2003	Signature				
<u> </u>	Date 4-7-2003				
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representativ (s) are required. Submit multiple forms if more than one signature is required, see below*.					
☑ *Total of1forms are submitted.					

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PTO/SB/96 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S.Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

der the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UND	ER 37 CFR 3.73(b)			
Applicant/Patent Owner: Xponent Photonics, Inc.				
Application No./Patent No.: 10/037,146	Filed/Issue Date: 12/21/2001			
Entitled: RESONANT OPTICAL MODULATO	PRS			
Xponent Photonics, Inc. , a corpora	ation			
(Name of Assignee) (Type of As	signee, e.g., corporation, partnership, university, government agency, etc.)			
states that it is:				
1. It the assignee of the entire right, title, and interest;	or			
2. an assignee of less than the entire right, title and The extent (by, percentage) of its ownership interest.	l interest. rest is %			
in the patent application/patent identified above by virtu				
A. An assignment from the inventor(s) of the patent was recorded in the United States Patent and Truwhich a copy thereof is attached.	application/patent identified above. The assignment ademark Office at Reel, Frame, or for			
OR				
B. [ ] A chain of title from the inventor(s), of the patent assignee as shown below:	application/patent identified above, to the current			
1. From:				
The document was recorded in the United S	States Patent and Trademark Office at, or for which a copy thereof is attached.			
2. From:				
	, or for which a copy thereof is attached.			
3. From:T	·o:			
The document was recorded in the United S				
[ ] Additional documents in the chain of title are listed on a supplemental sheet.				
Copies of assignments or other documents in the cha [NOTE: A separate copy (i.e., the original assignment must be submitted to Assignment Division in accordance recorded in the records of the USPTO. See MPEP 30	It document or a true copy of the original document) Ince with 37 CFR Part 3, if the assignment is to be			
The undersigned (whose title is supplied below) is author	ized to act on behalf of the assignee.			
4-1-2003	Jeffrey S. Rittichier			
Date	yped of printed name			
	Signature			
-	President and CEO, Xponent Photonics Inc.			

Whereas, I/We, Oskar J. Painter of Pasadena, CA hereafter referred to as applicant, have invented certain new and useful improvements in Resonant optical modulators  If for which an application for a United States Patent was filed on 12/21/2001  Application Number 10 / 037,146  If for which an application for a United States Patent was executed on, and whereas, Xponent Photonics Inc of Monrovia, CA herein referre to assignee whose mailing address is	ASSIGNMENT OF APPLICATION			Docket Numbe CQ	er (Optional) C13NP	
Resonant optical modulators  ✓ for which an application for a United States Patent was filed on 12/21/2001  Application Number 10 / 037,146  ✓ for which an application for a United States Patent was executed on, and Whereas,				•		
Application Number 10 / 037,146  for which an application for a United States Patent was executed on						
Whereas, Xponent Photonics Inc of Monrovia, CA herein referre to "assignee" whose mailing address is 425 East Huntington Drive, Monrovia, CA 91016 is desirous of acquiring the entire right, title and interest in the same;  Now, therefore, in consideration of the sum of ten dollars (\$ 10 ), the receipt whereof acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do se assign and transfer unto said assignee the full and exclusive right to the said invention in the United State and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I/We hereby authorize and request the Commissioner of Patent and Trademarks to issue sa United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his so use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for whice said Patent may be granted, as fully and entirely as the same would have been held by me had the assignment and sale not been made.					001	
Now, therefore, in consideration of the sum of <u>ten</u> dollars (\$\frac{10}{}\), the receipt whereof acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do se assign and transfer unto said assignee the full and exclusive right to the said invention in the United State and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I/We hereby authorize and request the Commissioner of Patent and Trademarks to issue sa United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his so use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had the assignment and sale not been made.	for which an application	on for a United States Pa	atent was exec	uted on	<del></del>	, and
Now, therefore, in consideration of the sum of <u>ten</u> dollars (\$\frac{10}{}\), the receipt whereof acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do se assign and transfer unto said assignee the full and exclusive right to the said invention in the United State and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I/We hereby authorize and request the Commissioner of Patent and Trademarks to issue sa United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his so use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had the assignment and sale not been made.	Whereas, Xponent P to assignee whose mailing	hotonics Inc of g address is 425	Mo East Huntington	onrovia, CA Drive, Monro	via, CA 91016	herein referred
acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do se assign and transfer unto said assignee the full and exclusive right to the said invention in the United State and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I/We hereby authorize and request the Commissioner of Patent and Trademarks to issue sa United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his so use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had the assignment and sale not been made.						<del></del>
at MonRovia, CAUFORNIA.	acknowledged, and other gassign and transfer unto sand the entire right, title a United States, I/We hereby United States Patent to sause and behoof; and for the said Patent may be grant assignment and sale not be	good and valuable consi- aid assignee the full and and interest in and to ar authorize and request tal assignee, of the entire e use and behoof of his ted, as fully and entireles een made.	ideration, I/We, dexclusive right and all Patthe Commission re right, title, all legal represently as the same	the applicar that to the said ents which n ener of Paten and interest in tatives, to the e would have	nt(s), by these it invention in the may be granted the and Trademan and to the safe full end of the been held	presents do sell, the United States and therefor in the tarks to issue said tame, for his sole the term for which the by me had this
	Executed this	974 day of 1	MALCH L		, 20 <u>03</u>	3
State of CAUFOLNIA (Signature)					1	
County of Los Angues ) SS:		SS:	· 1	•		
Before me personally appeared said OSKAR YAINTER	U	ared saidOS	CAR YA	inter		417
and acknowledged the foregoing instrument to be a later at SAMELA STOCK  day of MALCH , 2003 .  Seal Comm. # 1216780  NOTARY PUBLIC - CALIFORNIA Los Angeles County  (Notary Public)	day of MALCH		Co NOTAR	mm. #121678 Y PUBLIC-CALIFO S Angeles County	BO CO Pa	and Stock
Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, See below.			entire interest or			d. Submit multiple

ASSIGNMENT OF APPLICATION	Docket Number (Optional) CQC13NP
Whereas, I/We, Kerry J. Vahala of San Gat referred to as applicant, have invented certain new and useful improvements Resonant optical modulators	s in
for which an application for a United States Patent was filed on _12/21/2 Application Number _ 10 / 037,146	2001
for which an application for a United States Patent was executed on	, and
Whereas, Xponent Photonics Inc of Monrovia, CA to "assignee" whose mailing address is 425 East Huntington Drive, Monrovia desirous of acquiring the entire right, title and interest in the same;	herein referred rovia, CA 91016 is
Now, therefore, in consideration of the sum oftendollars (\$_10 acknowledged, and other good and valuable consideration, I/We, the applica assign and transfer unto said assignee the full and exclusive right to the sa and the entire right, title and interest in and to any and all Patents which United States, I/We hereby authorize and request the Commissioner of Pate United States Patent to said assignee, of the entire right, title, and interest use and behoof; and for the use and behoof of his legal representatives, to the said Patent may be granted, as fully and entirely as the same would have assignment and sale not been made.	ant(s), by these presents do sell, and invention in the United States may be granted therefor in the ent and Trademarks to issue said in and to the same, for his sole the full end of the term for which ave been held by me had this
executed this distribution day of MARCH at MonRovia, CALIFORNIA	, 20 <u><i>03</i></u>
State of <u>CAUFORNIA</u> ) SS:	(Signature)
Before me personally appeared said KERRY VAHOLO	<u>.                                    </u>
and acknowledged the foregoing instrument to be his free act and acknowledged the foregoing instrument to be his free act and acknowledged the foregoing instrument to be his free act and acknowledged the foregoing instrument to be his free act and acknowledged the foregoing instrument to be his free act and acknowledged the foregoing instrument to be his free act and acknowledged the foregoing instrument to be his free act and acknowledged the foregoing instrument to be his free act and acknowledged the foregoing instrument to be his free act and acknowledged the foregoing instrument to be his free act and acknowledged the foregoing instrument to be his free act and acknowledged the foregoing instrument to be his free act and acknowledged the foregoing instrument to be his free act and acknowledged the foregoing instrument to be his free act and acknowledged the foregoing instrument to be his free act and acknowledged the foregoing instrument to be his free act and acknowledged the foregoing instrument to be his free act and acknowledged the foregoing instrument to be his free act and acknowledged the foregoing instrument to be his free act and acknowledged the foregoing instrument to be his free act and acknowledged to be	(Notary Public)
Note: Signatures of all the inventors or assignees of record of the cuttor interest of the	are required. Submit multiple

	ASSIGNME	Docket Number (Optional) CQC13NP					
	Whereas, I/We, Da			Madre, CA ,hereafter			
	referred to as applicant, have		and useful improveme ical modulators	ents in			
	for which an application of Application Number 10		ent was filed on 12/2	1/2001			
	for which an application t	or a United States Pate	ent was executed on	, and			
	Whereas, Xponent Photosassignee" whose mailing a	onics Inc of ddress is 425 E	Monrovia, ( ast Huntington Drive, M	CA herein referred lonrovia, CA 91016 is			
	desirous of acquiring the entil	e right, title and interes	st in the same;				
	acknowledged, and other good assign and transfer unto said and the entire right, title and United States, I/We hereby at United States Patent to said use and behoof; and for the usaid Patent may be granted assignment and sale not beer	d and valuable considers assignee the full and interest in and to any athorize and request the assignee, of the entirely as as fully and entirely a made.	eration, I/We, the apprexclusive right to the and all Patents where Commissioner of Paright, title, and interestal representatives, as the same would	blicant(s), by these presents do sell, a said invention in the United States ich may be granted therefor in the Patent and Trademarks to issue said est in and to the same, for his sole to the full end of the term for which is detailed by me had this			
	Executed this 17 TH at MONROVIA	day of	NIALOH	, 20 <u>/ 7</u>			
	State of <u>AUFORNIZ</u> ) County of <u>LOS</u> ANGLES Before me personally appears	SS:	D VERNUO	Of Ung (Signature)			
	and acknowledged the foregoing instrument to be his tag act and dead this PAMELA STOCK PAMELA STOCK Comm. # 1216780 Comm. # 1216780 NOTARY PUBLIC CAUSORITIE QUILL > Ho d						
	Seal		Los Angele My Comm. Expires	April 23, 2003			
r fe	lote: Signatures of all the inventors of orms if more than one signature is rec	assignees of record of the uired, See below*.	entire interest or their repr	esentative(s) are required. Submit multiple			
*	☑ Total of 5 fo	rms are submitted.					

ASSIGNMENT OF APPLICATION				Docket Number	er (Optional) C13NP
Whereas, I/We,	Guido Hunziker	of	Altade	na, CA	,hereafter
referred to as applicant,	have invented certain ne Resonant c			s in	
for which an applicate Application Number	ion for a United States F 10 / 037,146			2001	<del></del>
for which an applicat	ion for a United States F	atent was ex	recuted on		, and
Whereas, Xponent to assignee whose maili	Photonics Inc of of 425	5 East Hunting	Monrovia, CA ton Drive, Monr	ovia, CA 91016	_herein referred is
desirous of acquiring the	entire right, title and inte	rest in the sa	ıme;		•
Now, therefore, in consideration of the sum of ten dollars (\$10), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I/We hereby authorize and request the Commissioner of Patent and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.					
Executed this/ 7 at/ DT/ROU//	TH day of	MARC	H	, 20 <u>/</u> _	3
State of <u>CALIFORIUI</u> County of <u>LOS FINES</u> Before me personally app and acknowledged the for	eared said <u>GUI</u>	DO HO	C INZIKER	(Spignature	)
day of MARCH	_, 20 <u>0.3</u>		PAMELA STOU Comm. # 12167 Pary Public - Calle	BO Ja wid	1 Stock
Seal  Note: Signatures of all the invento forms if more than one signature is		My Co	Los Angeles () and Mini. Explies April 1 For Metricepresen	23,2003	•
* ☑ Total of 5	forms are submitted.				

ASSIGNMENT OF APPLICATION	Docket Number (Optional) CQC13NP			
Whereas, I/We, Peter C. Sercel of Pasadoreferred to as applicant, have invented certain new and useful improvement Resonant optical modulators	ts in			
for which an application for a United States Patent was filed on 12/21/2  Application Number 10 / 037,146				
for which an application for a United States Patent was executed on	, and			
Whereas, Xponent Photonics Inc of Monrovia, CA to "assignee" whose mailing address is 425 East Huntington Drive, Mondesirous of acquiring the entire right, title and interest in the same;	herein referred rovia, CA 91016 is			
Now, therefore, in consideration of the sum oftendollars (\$_1 acknowledged, and other good and valuable consideration, I/We, the applic assign and transfer unto said assignee the full and exclusive right to the sa and the entire right, title and interest in and to any and all Patents which United States, I/We hereby authorize and request the Commissioner of Pate United States Patent to said assignee, of the entire right, title, and interest use and behoof; and for the use and behoof of his legal representatives, to said Patent may be granted, as fully and entirely as the same would hassignment and sale not been made.	ant(s), by these presents do sell, aid invention in the United States a may be granted therefor in the ent and Trademarks to issue said in and to the same, for his sole the full end of the term for which have been held by me had this			
executed this 13 TH day of MALCH at MONROVIA, CALIFOLAIN	, 20 <u><i>03</i> </u>			
State of <u>LALIFORNIC</u> )  County of <u>LOS AN(CLS)</u> Before me personally appeared said <u>ETLR Ser CEL</u> and acknowledged the foregoing instrument to be this free act and dood this <u>13 TH</u> PAMFIA STOCK O				
Seal  Note: Signatures of all the inventors or assignees forms if more than one signature is required, See below.	(Notary Public)  (Notary Public)  (S) are required. Submit multiple			
Total of 5 forms are submitted				